

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/731,316	12/06/2000	Carlos Schuler	015225-005210US

CONFIRMATION NO. 1043

20350
TOWNSEND AND TOWNSEND AND CREW
TWO EMBARCADERO CENTER
EIGHTH FLOOR
SAN FRANCISCO, CA 94111-3834

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FORMALITIES LETTER

FEB 23 2001



OC000000005747634

CHR & M

Date Mailed: 02/15/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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*A copy of this notice **MUST** be returned with the reply.*

Tasleel Pervez

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

MC

~~SECRET~~

\$ #4

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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JC61

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APR 16 2001
JC61

TRANSMITTAL FORM

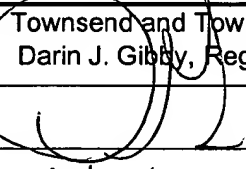
to be used for all correspondence after initial filing)

TRANSMITTAL FORM to be used for all correspondence after initial filing)		Application Number	09/731,316
		Filing Date	December 6, 2000
		First Named Inventor	Carlos Schuler
		Group Art Unit	3761
		Examiner Name	
Total Number of Pages in This Submission		Attorney Docket Number	015225-005410US

ENCLOSURES (check all that apply)

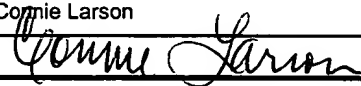
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below): <p style="text-align: center;">Declaration Power of Attorney</p>
Remarks: _____ The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm and Individual name	Townsend and Townsend and Crew LLP Darin J. Gibby, Reg. No. 38,464
Signature	
Date	4/10/01

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: **4-10-01**

Typed or printed name	Connie Larson		
Signature		Date	4-10-01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.
DE 7037710 v1



Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT	(\$)	130
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Complete if Known

Application Number	09/731,316
Filing Date	December 6, 2000
First Named Inventor	Carlos Schuler
Examiner Name	
Group Art Unit	3761
Attorney Docket No.	015225-005410US

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

20-1430

Townsend and Townsend and Crew LLP

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large	Entity	Small	Entity		
Fee	Fee	Fee	Fee	Fee Description	Fee Paid
Code	(\$)	Code	(\$)		
101	710	201	355	Utility filing fee	
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1)

2. EXTRA CLAIM FEES

		Extra Claims	Fee from below	Fee Paid
Total Claims	<input type="text"/> .. = <input type="text"/>	X	<input type="text"/>	= <input type="text"/>
Independent Claims	<input type="text"/> .. = <input type="text"/>	X	<input type="text"/>	= <input type="text"/>
Multiple Dependent		X	<input type="text"/>	= <input type="text"/>

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)	(\$)
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**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Fee Code	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description
105	130	65	Surcharge - late filing fee or oath
127	50	25	Surcharge - late provisional filing fee or cover sheet.
139	130	130	Non-English specification
147	2,520	2,520	For filing a request for reexamination
112	920*	920*	Requesting publication of SIR prior to Examiner action
113	1,840*	1,840*	Requesting publication of SIR after Examiner action
115	110	55	Extension for reply within first month
116	390	195	Extension for reply within second month
117	890	445	Extension for reply within third month
118	1,390	695	Extension for reply within fourth month
128	1,890	945	Extension for reply within fifth month
119	310	155	Notice of Appeal
120	310	155	Filing a brief in support of an appeal
121	270	135	Request for oral hearing
138	1,510	1,510	Petition to institute a public use proceeding
140	110	55	Petition to revive – unavoidable
141	1,240	620	Petition to revive – unintentional
142	1,240	620	Utility issue fee (or reissue)
143	440	220	Design issue fee
144	600	300	Plant issue fee
122	130	130	Petitions to the Commissioner
123	50	50	Petitions related to provisional applications
126	180	180	Submission of Information Disclosure Stmt
581	40	40	Recording each patent assignment per property (times number of properties)
146	710	355	Filing a submission after final rejection (37 CFR § 1.129(a))
149	710	355	For each additional invention to be examined (37 CFR § 1.129(b))
179	710	355	Request for Continued Examination (RCE)
169	900	900	Request for expedited examination of a design application

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid	SUBTOTAL (3)	(\$) 130
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The Commissioner is authorized to charge any additional fees to the above noted Deposit Account.

SUBMITTED BY _____

Name (Print/Type)

Darin J. Gibby

Registration No. Attorney/Agent)

38.464

Telephone

(303) 571-4000

Signature

Date _____

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231. (DE 7037711 v1)